Non-perturbative Treatment of Quantum Dissipation

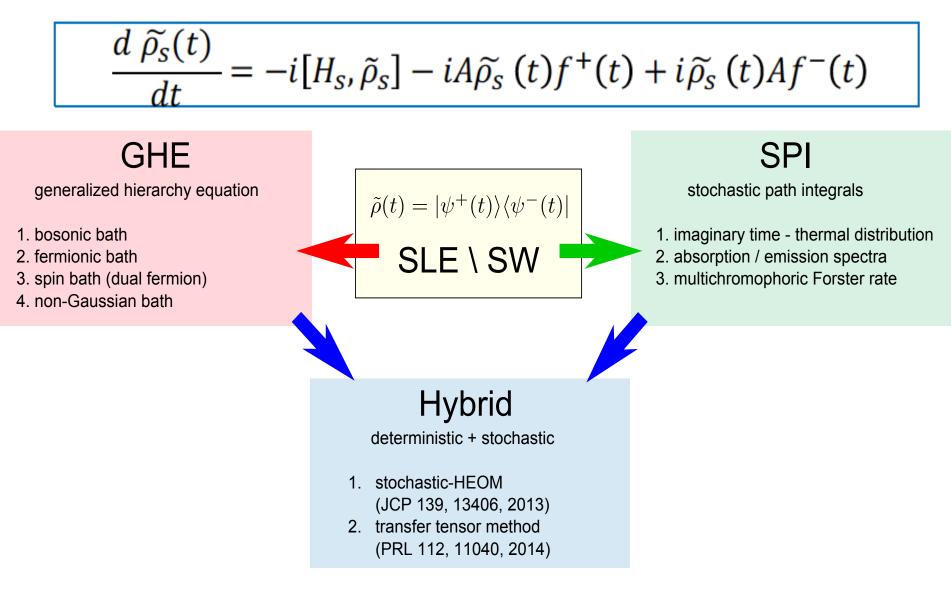
Jianshu Cao Department of Chemsitry, MIT

- Dynamics and Thermodynamics in the Polaron Frame
- Symmetry and Multiple Steady-states in Heat Transfer
- A Unified Stochastic Formalism of Quantum Dissipation



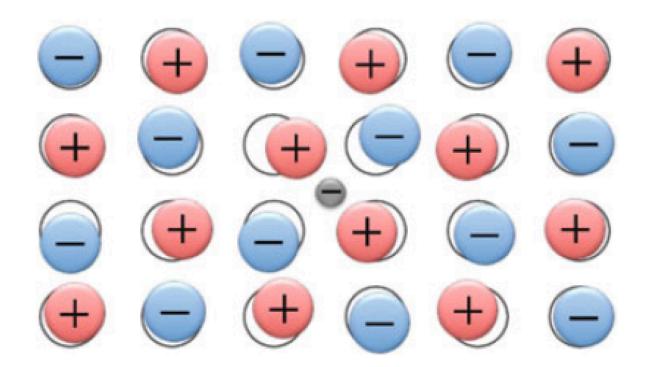
April 11, 2017, Bad Honnef, Germany

A Unified Stochastic Formalism of Quantum Dissipation



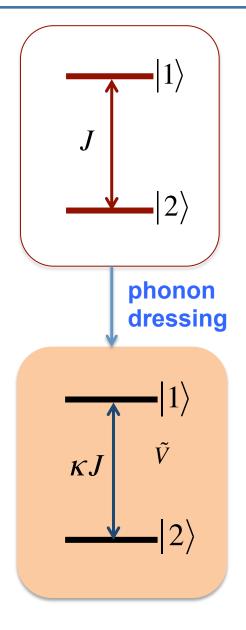
Hsieh and Cao, arXiv: 1701.05709

Polaron: Polarization of Lattice



- non-canonical thermal distribution
- polaron-transformed Redfield equation
- quantum diffusion in organic systems
- light-harvesting energy transfer
- heat transfer in NESB

Polaron transformation of the spin-boson model



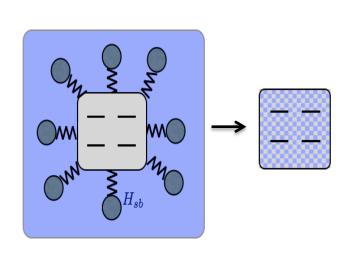
$$H_{e} = \frac{\epsilon}{2}\sigma_{z} + \frac{J}{2}\sigma_{x} + \sum_{k}\omega_{k}b_{k}^{\dagger}b_{k} + \sigma_{z}\sum_{k}\left(f_{k}b_{k}^{\dagger} + \text{H.c.}\right)$$

unitary polaron transformation

$$\tilde{H}_{e} = SH_{e}S^{\dagger} = \tilde{H}_{S} + \tilde{H}_{B} + \tilde{V}$$

$$\tilde{H}_{s} = \frac{\varepsilon}{2}\sigma_{z} + \kappa \frac{J}{2}\sigma_{x}$$
$$\tilde{H}_{B} = \sum_{k}\omega_{k}b_{k}^{\dagger}b_{k}$$
$$\tilde{V} = \frac{J}{2}[\sigma_{x}(\cos B - \kappa) + \sigma_{y}\sin B]$$

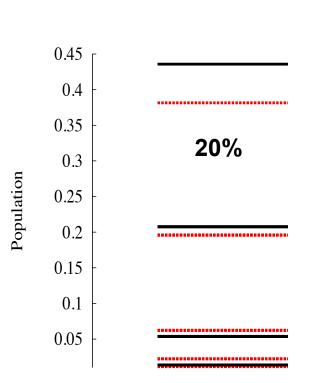
Deviation from Boltzmann



equilibrium reduced density matrix

$$\frac{1}{Z} \operatorname{Tr}_{\mathbf{b}} e^{-\beta H} = e^{-\beta H_{eff}} \neq \frac{1}{Z} e^{-\beta H_s}$$

 $\rm H_{s}$ and $\rm H_{SB}$ do not commute



Boltzmann/exact RDM LH2 of purple bacteria at T_{room}

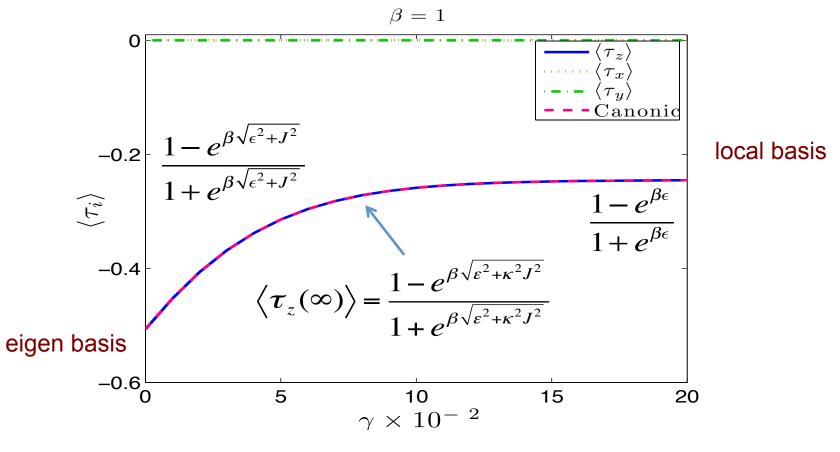
J Moix and J. Cao, PRB, 85, 115412, (2012): imaginary-time path integral calculations

Non-canonical distribution (I): polaron population

$$\tilde{H}_{s} = \frac{\varepsilon}{2}\sigma_{z} + \frac{\kappa J}{2}\sigma_{x} = \varepsilon_{+} \left| + \right\rangle \left\langle + \right| + \varepsilon_{-} \left| - \right\rangle \left\langle - \right|$$

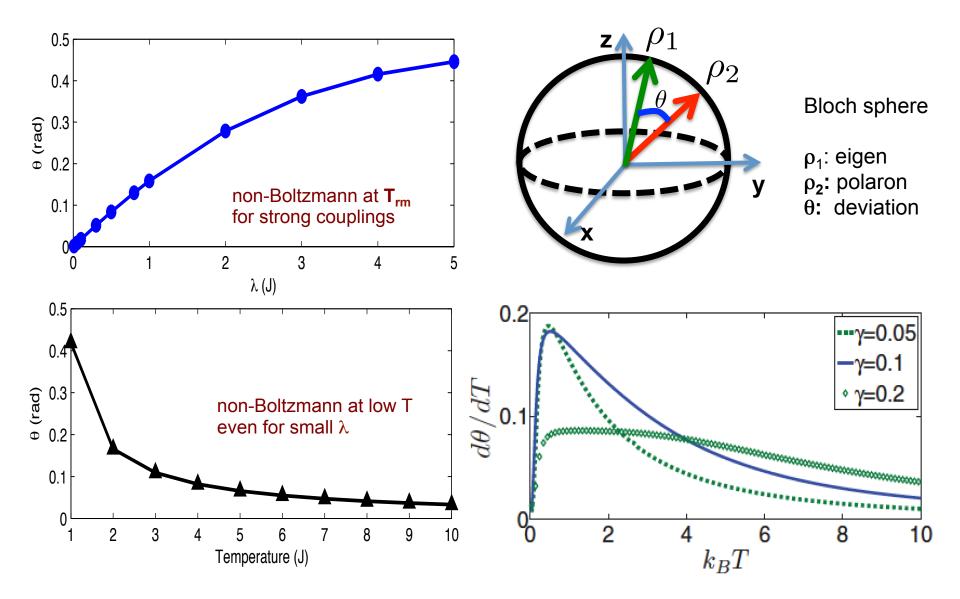
$$\langle \tau_z \rangle = -\tanh(\beta \sqrt{\varepsilon^2 + \kappa^2 J^2} / 2)$$

population distribution(energy gap) in the polaron basis



Lee, Cao, Gong, PRE 86, 021109 (2012)

Non-canonical distribution (II): basis set rotation



Lee, Cao, and Gong, PRE, 86, 021109 (2012); Cerrillo and Cao, PRL 112, p110401 (2014) (TTM)

Master Equation in the Polaron Basis

$$\tilde{H}_{s} = \frac{\varepsilon}{2}\sigma_{z} + \kappa \frac{J}{2}\sigma \quad \tilde{H}_{B} = \sum_{k} \omega_{k} b_{k}^{\dagger} b_{k} \quad \tilde{V} = \frac{J}{2} \Big[\sigma_{x} (\cos B - \kappa) + \sigma_{y} \sin B \Big]$$
polaron basis
$$\varepsilon_{\pm} = \pm \frac{1}{2} \sqrt{\varepsilon^{2} + (J\kappa)^{2}} \quad \tan \theta = J\kappa / \varepsilon$$

$$|+\rangle = \cos \frac{\theta}{2} |1\rangle + \sin \frac{\theta}{2} |2\rangle, |-\rangle = \sin \frac{\theta}{2} |1\rangle - \cos \frac{\theta}{2} |2\rangle$$

$$\tau_{z} = |+\rangle \langle +|-|-\rangle \langle -| \quad \Delta = \varepsilon_{+} - \varepsilon_{-}$$

$$\tau_{+} = |+\rangle \langle -|, \tau_{-} = |-\rangle \langle +|$$

Master equation with Born-Markov approximation (Breuer and Petruccione)

$$\frac{d\tilde{\rho}(t)}{dt} = -i\left[\tilde{H}_{s}, \tilde{\rho}_{e}(t)\right] - \int_{0}^{\infty} d\tau \operatorname{Tr}_{B}\left[\tilde{V}_{I}(0), \left[\tilde{V}_{I}(-\tau), \tilde{\rho}(t)\rho_{B}\right]\right]$$

Polaron Dynamics: Silbey, Nazir, Schaller, Gelbwaser...

Polaron-Transformed Redfield-Bloch Equation (PTRE)

$$\frac{d}{dt} \begin{pmatrix} \langle \tau_z \rangle \\ \langle \tau_x \rangle \\ \langle \tau_y \rangle \end{pmatrix} = - \begin{pmatrix} \gamma_z & \gamma_{zx} & 0 \\ \gamma_{xz} & \gamma_x & \Delta + \gamma_{xy} \\ \gamma_{yz} & -\Delta + \gamma_{yx} & \gamma_y \end{pmatrix} \begin{pmatrix} \langle \tau_z \rangle \\ \langle \tau_x \rangle \\ \langle \tau_y \rangle \end{pmatrix} + \begin{pmatrix} C_z \\ C_x \\ C_y \end{pmatrix}$$

Pauli operator in the polaron transformed basis

relaxation rate:

$$\gamma_{z} = \frac{J^{2}\kappa^{2}}{2} \int_{0}^{\infty} d\tau \cos(\Delta\tau) \left\{ \cos^{2}\theta [\cosh[Q(\tau)] + \cosh[Q(-\tau)] - 2] + \sinh[Q(\tau)] + \sinh[Q(-\tau)] \right\}$$

$$Q(\tau) = \int_{0}^{\infty} d\omega \frac{J(\omega)}{\pi\omega^{2}} \left(e^{i\omega\tau}n(\omega) + e^{-i\omega\tau} [1 + n(\omega)] \right) \qquad \text{bath correlation function}$$

$$\kappa = \exp\left[-\int_{0}^{\infty} d\omega \frac{J(\omega)}{\pi\omega^{2}} \left(n(\omega) + \frac{1}{2} \right) \right] = \exp\left[-\frac{1}{2}Q(0) \right]$$

Lee, Moix, and Cao JCP 142, p164103, (2015) Xu and Cao, Frontier of Physics 11(4),110308 (2016)

PTRE (I): Bridging Two Limits

Weak coupling limit $\kappa = \langle \cos B \rangle \rightarrow 1$



Redfield equation in eigen basis

$$\frac{d\rho_s^{++}}{dt} = -\Gamma \Big[1 + N(\Lambda_0) \Big] \rho_s^{++} + \Gamma N(\Lambda_0) \rho_s^{--} \Big]$$
$$\frac{d\rho_s^{+-}}{dt} = -i\Lambda_0 \rho_s^{+-} - \Gamma \Big[\frac{1}{2} + N(\Lambda_0) \Big] \rho_s^{+-} \Big]$$

Redfield relaxation rate:

$$\Gamma = \frac{1}{2} J(\Lambda_0) \sin^2 \theta, \Lambda_0 = \sqrt{\Delta^2 + J^2}$$

Strong coupling limit $\kappa \rightarrow 0$



Rate equation in local basis (no coherence)

$$\frac{d\rho_s^{11}}{dt} = -\Gamma_{11}\rho_s^{11} + \Gamma_{12}\rho_s^{22}$$

Fermi's Golden-rate rule: $\Gamma_{11} = \frac{1}{2}\kappa^2 J^2 \int_0^\infty d\tau \operatorname{Re}\left[e^{i\Delta\tau}\left(e^{Q(\tau)} - 1\right)\right]$

Xu and Cao, Frontier of Physics 11(4),110308 (2016)

Steady state solution

population difference

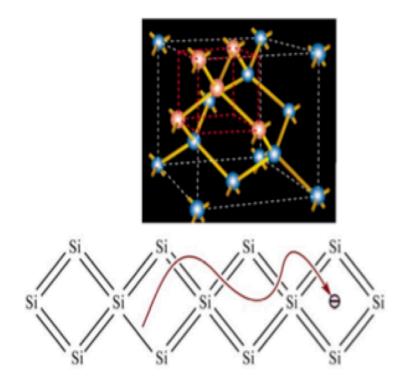
$$\left\langle \tau_{z}(\infty) \right\rangle = \frac{-2i \int_{-\infty}^{\infty} d\tau \sin\left(\Delta\tau\right) \eta^{2} e^{Q(\tau)}}{\int_{-\infty}^{\infty} d\tau \cos\left(\Delta\tau\right) \eta^{2} \left\{ e^{Q(\tau)} + e^{Q(-\tau)} \right\}} \approx \frac{1 - e^{\beta\Delta}}{1 + e^{\beta\Delta}}$$

canonical distribution in the polaron frame

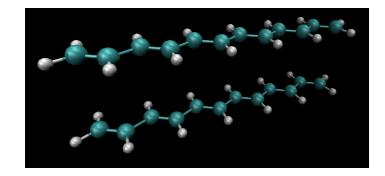
- Dynamical steady state and thermodynamic equilibrium state are consistent
- PTRE bridges smoothly the Redfield equation and Fermi-Golden-Rule rates
- Numerical comparison shows reliability in the relevant regime

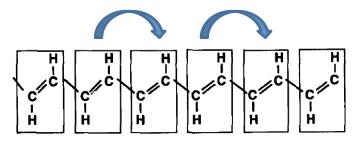
Xu and Cao, Frontier of Physics 11(4), 110308 (2016)

Two Models of Carrier Dynamics



coherent band-like (Redfield equation)

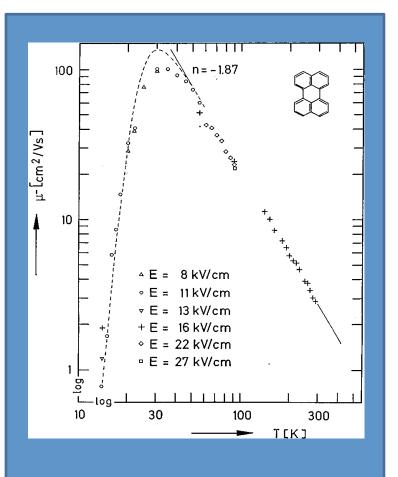




POLYACETYLENE

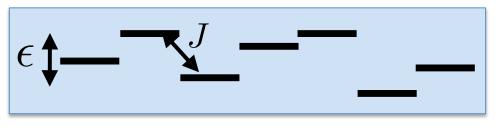
incoherent hopping (Fermi's golden rule rate)

Fluctuating Anderson Model for Organic Solids



Optimal Temperature

disordered 1-D chain (Anderson model)

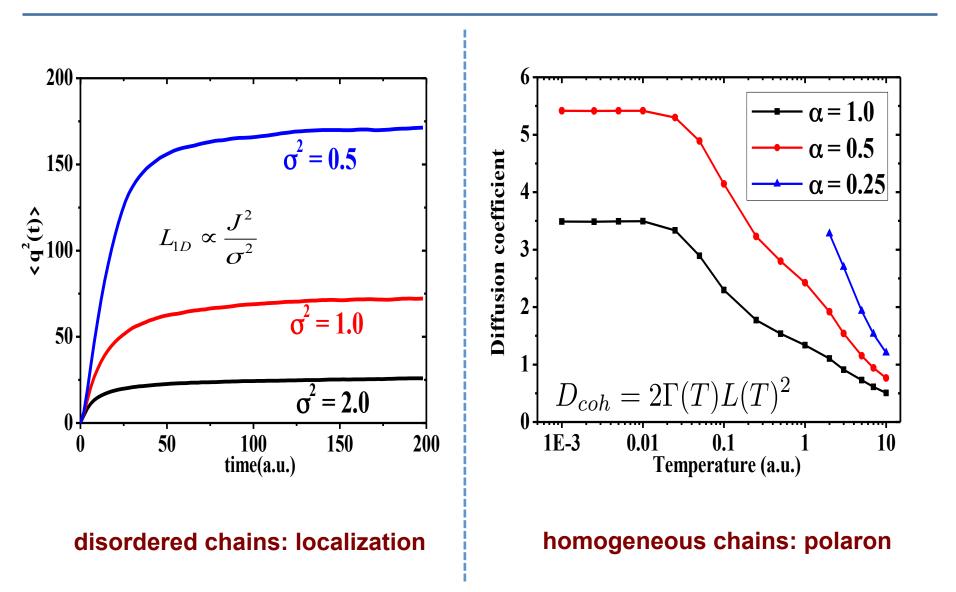


$$P(\epsilon) = \frac{1}{\sqrt{2\pi\sigma^2}} e^{-\frac{\epsilon^2}{2\sigma^2}}$$

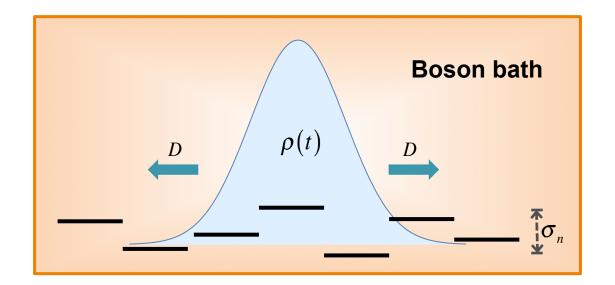
+ thermal fluctuations

$$\begin{split} H(t) &= H_s + \sum_i F_i(t) |i\rangle \langle i| \\ \left\langle F_i(t) F_j(t') \right\rangle_{QM} = \delta_{ij} C(t-t') \end{split}$$

Two Limiting Cases



T-dependence in Mobility: Quantized Phonon Bath

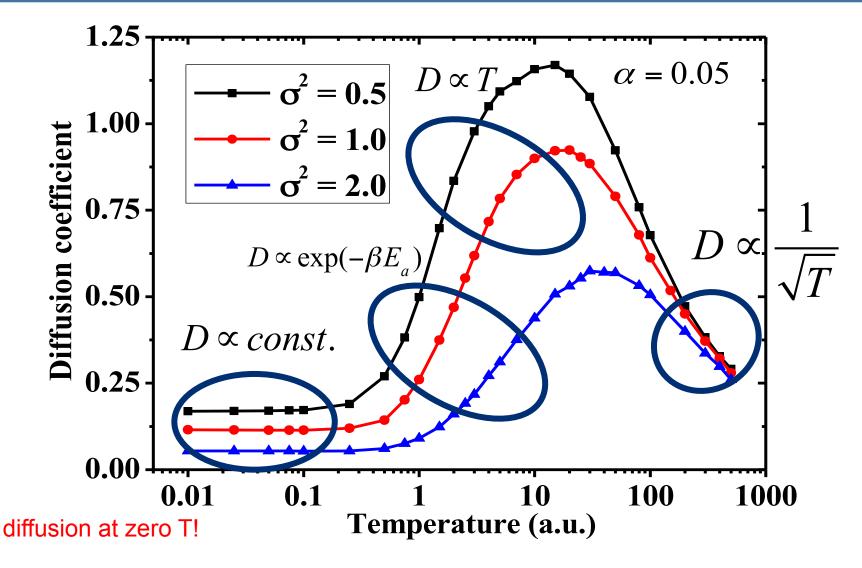


one-dimensional chain with 100-1000 sites an independent quantum bath for each site spin-boson model: 2 states and one bath

$$J(\omega) = \frac{\pi}{2} \alpha \omega e^{-\omega/\omega_c}$$

Redfield equation: weak coupling/low T (eigen-state basis) Fermi's golden rule rate: strong coupling/high T (site basis)

Diffusion Constant: Quantum Noise



Lee, Moix, and Cao (JCP 142, p164103, 2015)

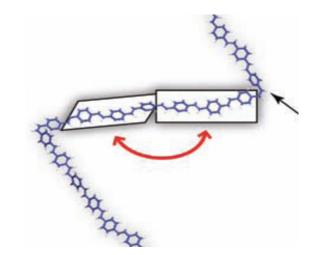
TABLE I: Mobility (in unit cm^2/Vs) of organic semiconductor materials at T = 300K and $\sigma = 800cm^{-1}$.

	PT-RE	Redfield	FGR	Experiment
Rubrene	11.1	41.2	0.33	3 to 15
Pentacene	0.73	2.0	0.045	0.66 to 2.3
PBI-F ₂	2.2×10^{-5}	1.2×10^{-3}	2.0×10^{-5}	-
$PBI-(C_4F_9)_2$	0.61	104	0.25	-

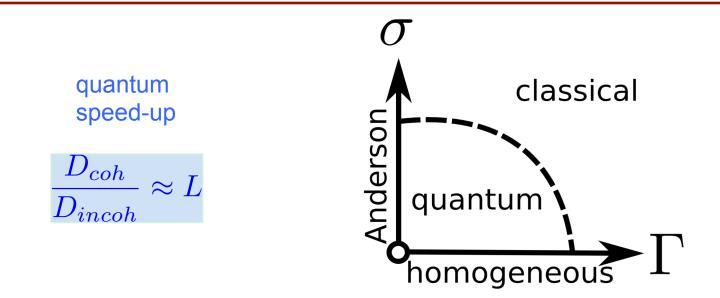
our model band-like hopping

FGR: Fermi's golden-rule rate (incoherent hopping transport)Redfield: transitions between excitons (coherent band-like transport)PT-RE: polaron-transformed Redfield Eq. (hopping between polarons)

Quantum Diffusion

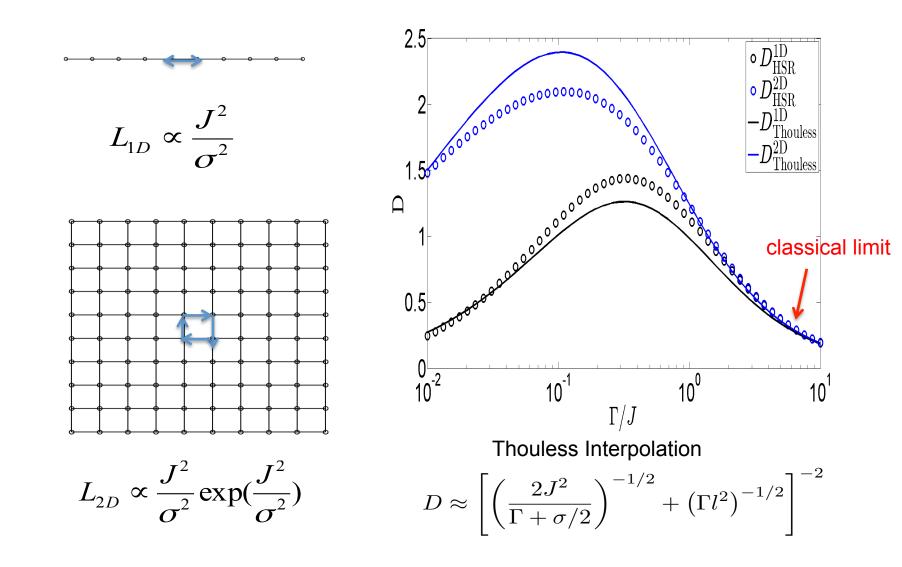


short-time localization
+ long-time hopping



Moix, Khasin, and Cao (NJP 15, 085010, 2013)

From 1D Chains to 2D Films

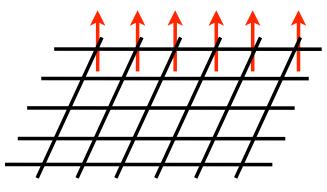


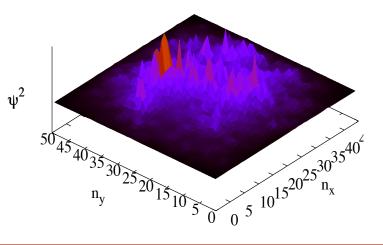
Chuang, Lee, Moix, Knoester, and Cao, PRL116, 196803, (2016)

Open Questions in Quantum Diffusion

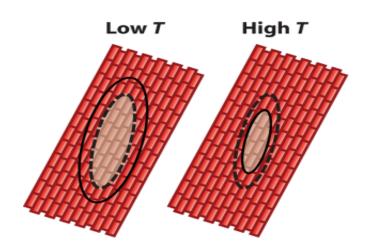
Anderson localization competes with the long-range dipolar interactions

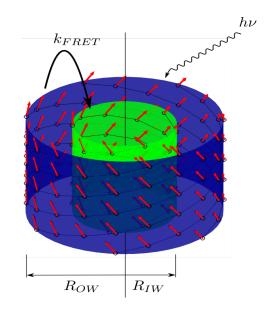
2D lattice with 3D dipoles (H-aggregates)



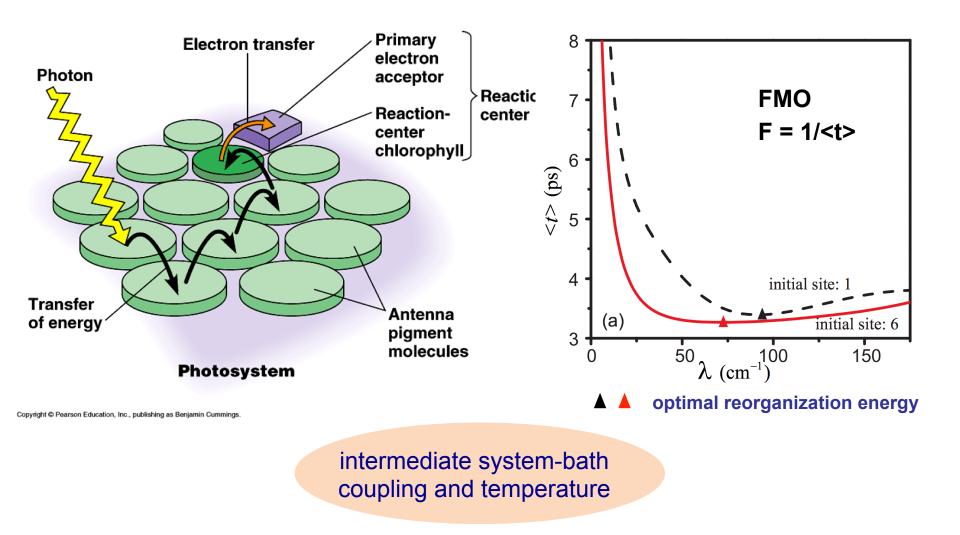


Anisotropic dipolar interactions (J-aggregates)



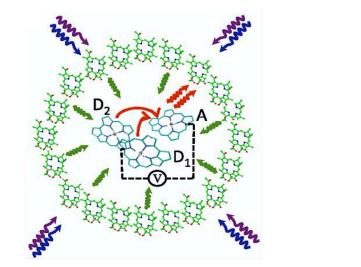


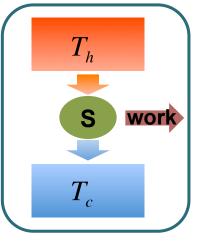
Energy transfer in photosystem: Optimal coupling



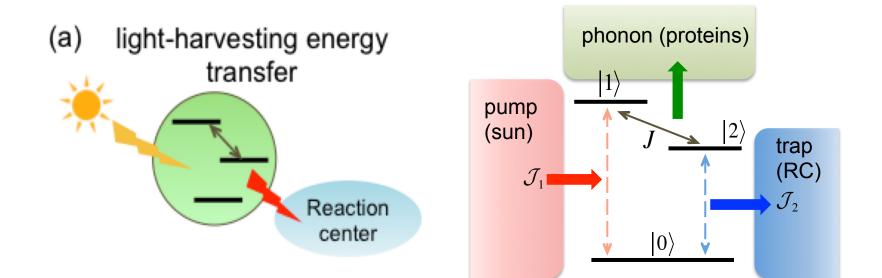
Wu, Silbey, and Cao, PRL 110, 200402 (2013); Wu, et al, NJP, 12, 105012 (2010)

Three-level Light-Harvesting Model

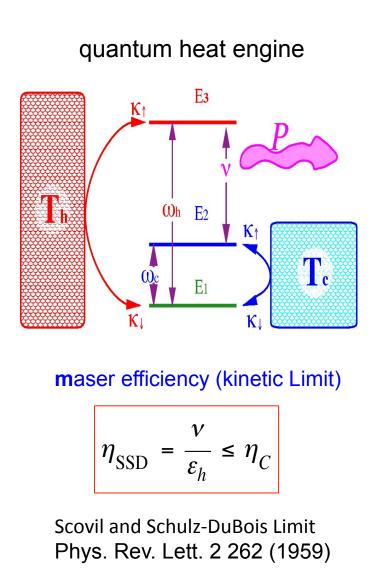




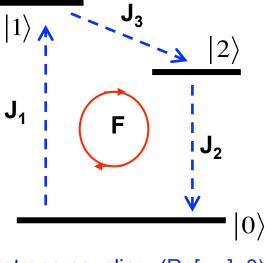
Scully, et al. PNAS (2011)



Three-level Quantum Heat Engine and Heat Pump



light-harvesting (heat pump)



strong coupling (Re[ρ_{12}]=0)

 $J_i = \varepsilon_i F$ $\eta_{SSD} = \varepsilon_2 / \varepsilon_1$

F is the exciton population flux

Xu, Wang, Cao, NJP 023003 (2016)

Energy flux and quantum coherence

Time evolution of the light-harvesting system

Energy flux

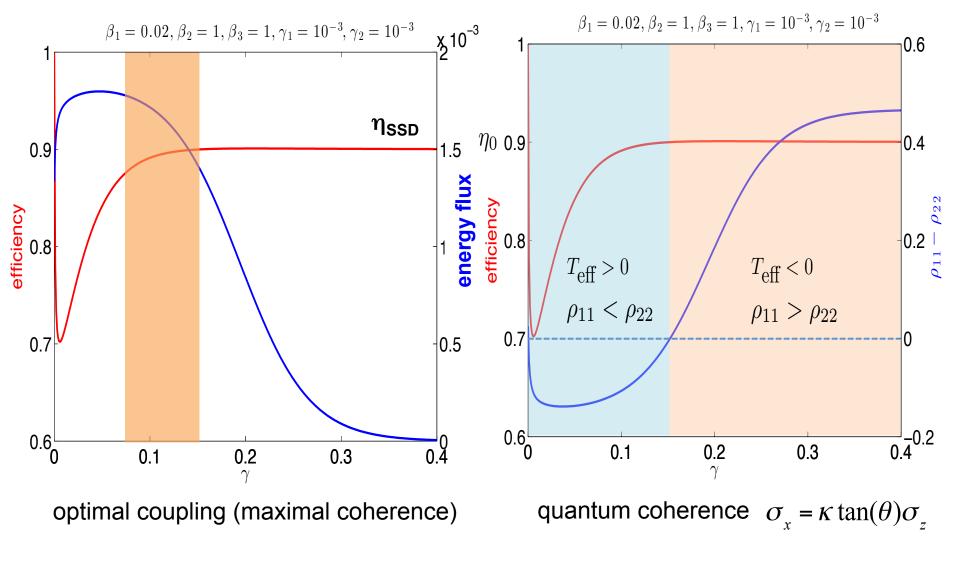
$$\mathcal{J} = \operatorname{Tr}_{s} \left[\frac{d\rho}{dt} H_{s} \right] = \operatorname{Tr}_{s} \left[\mathcal{L}_{pump} [\rho] H_{s} \right] + \operatorname{Tr}_{s} \left[\mathcal{L}_{trap} [\rho] H_{s} \right] + \operatorname{Tr}_{s} \left[\mathcal{L}_{phonon} [\rho] H_{s} \right]$$
$$\mathcal{J}_{2} = \operatorname{Tr}_{s} \left[\mathcal{L}_{trap} \rho_{s} H_{s} \right] = -\epsilon_{2} \gamma_{2} \left[(n_{2} + 1)\rho_{22} - n_{2}\rho_{gg} \right] - \left[\frac{J\gamma_{2}}{2} (n_{2} + 1)\operatorname{Re}[\rho_{12}] \right]$$
$$\mathcal{J}_{1} = \operatorname{Tr}_{s} \left[\mathcal{L}_{pump} \rho_{s} H_{s} \right] = -\epsilon_{1} \gamma_{1} \left[(n_{1} + 1)\rho_{11} - n_{1}\rho_{gg} \right] - \left[\frac{J\gamma_{1}}{2} (n_{1} + 1)\operatorname{Re}[\rho_{12}] \right]$$
population term coherence term: Re[ρ_{12}]

Efficiency

$$\eta_{eff} = -\frac{\mathcal{J}_2}{\mathcal{J}_1}$$

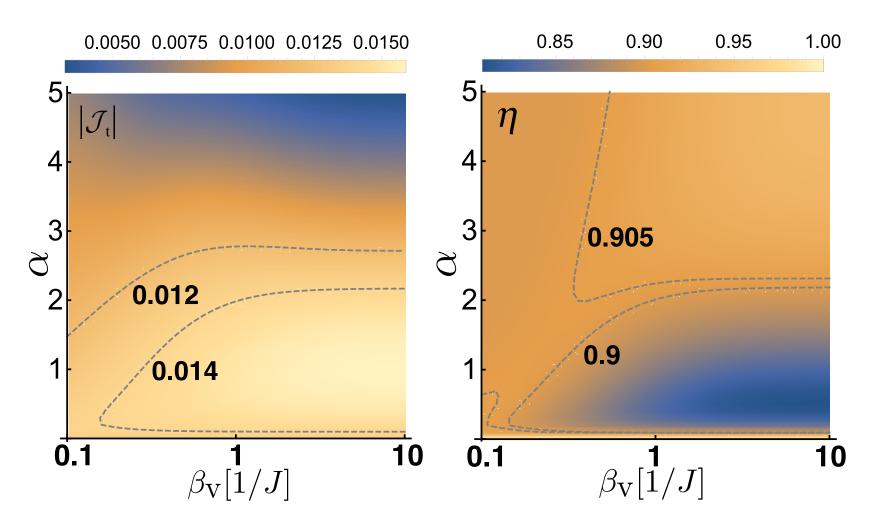
Without coherence, Re[
$$\rho_{12}$$
]=0, then J_j= ϵ_j F and $\eta_{ssd} = \epsilon_2/\epsilon_1$

Optimal performance, coherence, and population inversion



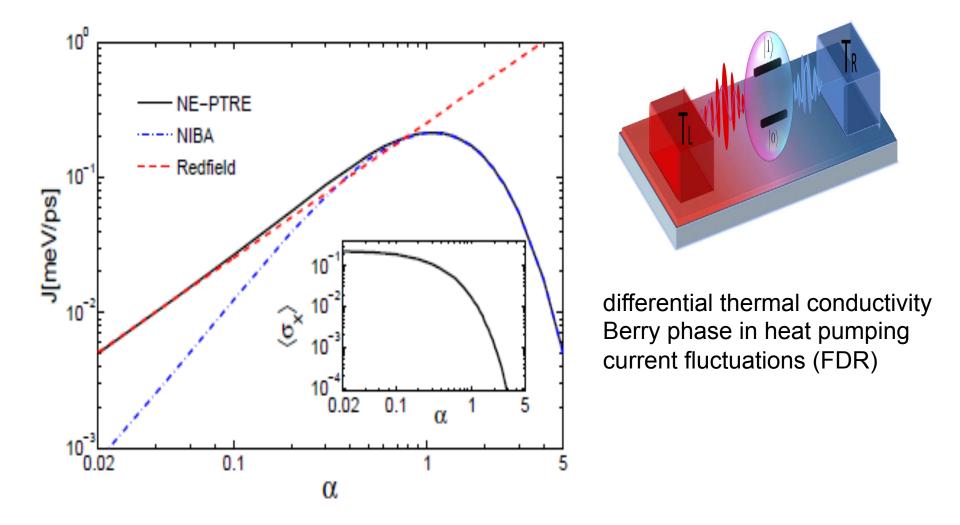
D. Xu, C Wang, Y Zhao, J Cao, NJP18, 023003 (2016)

Dependence on temperature and coupling strength



- The high efficiency is not correlated with high energy output
- The optimal regime is intermediate coupling and temperature
- These observations are consistent with LH

Heat transfer in non-equilibrium spin-boson model



Wang, Ren and Cao, Sci. Rep. 5, 11787(2015) & PRA 95 0236610 (2017)

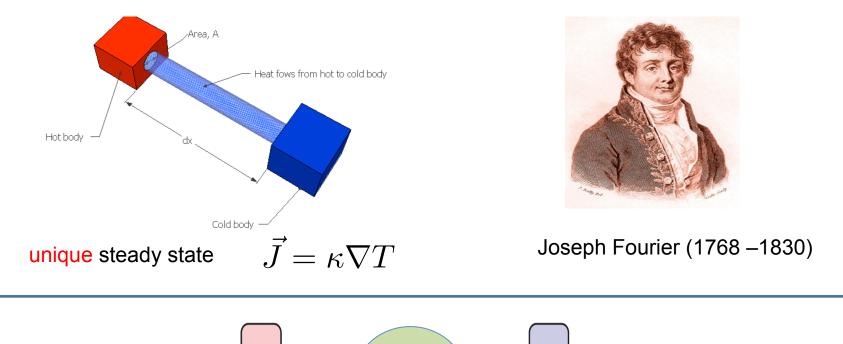
Non-perturbative Treatment of Quantum Dissipation Jianshu Cao Department of Chemsitry, MIT

• Dynamics and Thermodynamics in the Polaron Frame

non-canonical thermal distribution polaron-transformed Redfield equation charge mobility in organic systems light-harvesting energy transfer heat transfer in NESB

• Symmetry and Multiple Steady-states in Heat Transfer

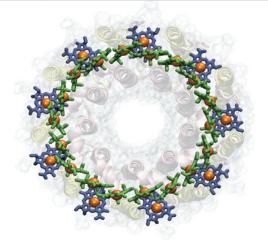
Heat Transfer and Fourier Law

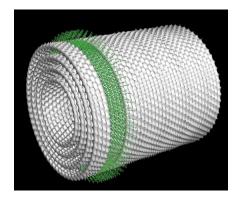


$$\dot{\rho} = -i \left[H_s, \rho \right] + \hat{L}_L \rho + \hat{L}_R \rho \qquad J_{st} = Tr(H_s L_L \rho) = -Tr(H_s L_R \rho)$$

The Liouvillian equation for the quantum system predicts the steady state flux J

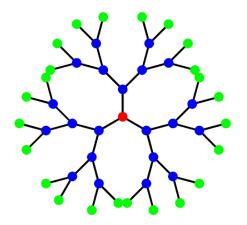
Symmetric Molecular Structures



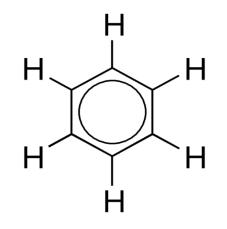


LH2 Cleary, Chen, Silbey, Cao, PNAS 110, 8537 (2013)

Chlorosome Chuang, Moix, Knoester, Cao, PRL 116, 196803 (2016)

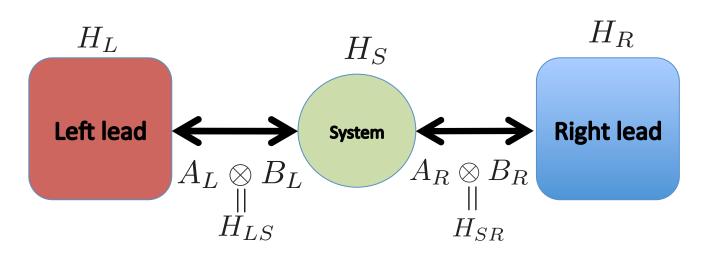


Dendrimer Wu, Silbey and Cao, PRL 110, 200402 (2013)



Benzene Thingna, Manzano and Cao, Sci. Rep. 6, 28027 (2016)

Symmetries and Multiple Steady-states



If there exists a unitary operator Π such that:

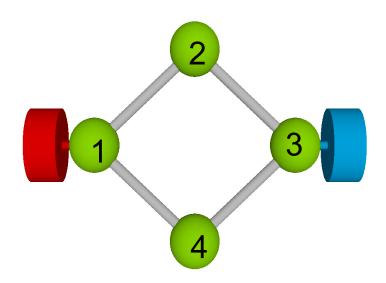
 $[\Pi, H_S] = [\Pi, A_\alpha] = 0$

 \implies Multiple steady-states

of steady states = # of independent symmetry operators + 1

Buča and Prosen, NJP. 14, 073007 (2012); Thingna, Manzano, and J. Cao, Sci Rep. 6, 28027 (2016)

Symmetry and Steady-states of 4-Site Model

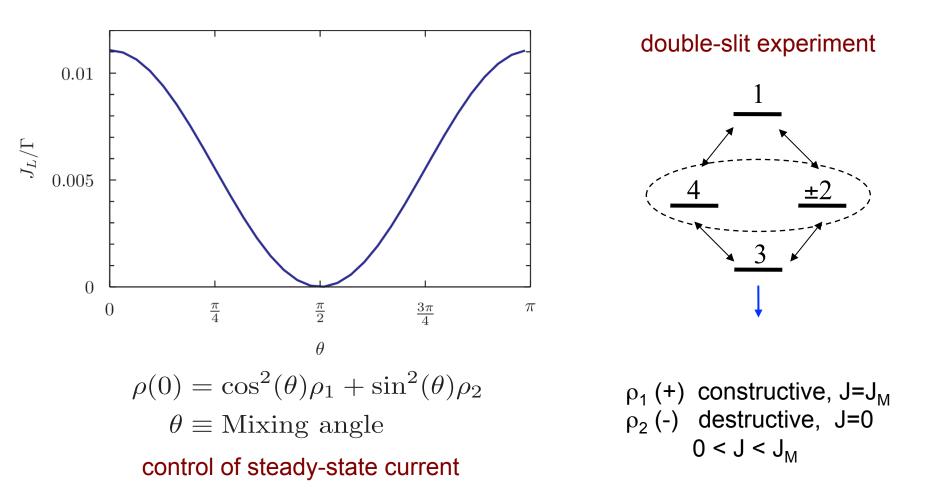


mirror symmetry: two steady states

 ρ_{1} : mixed state of even symmetry and non-zero current J_{st}

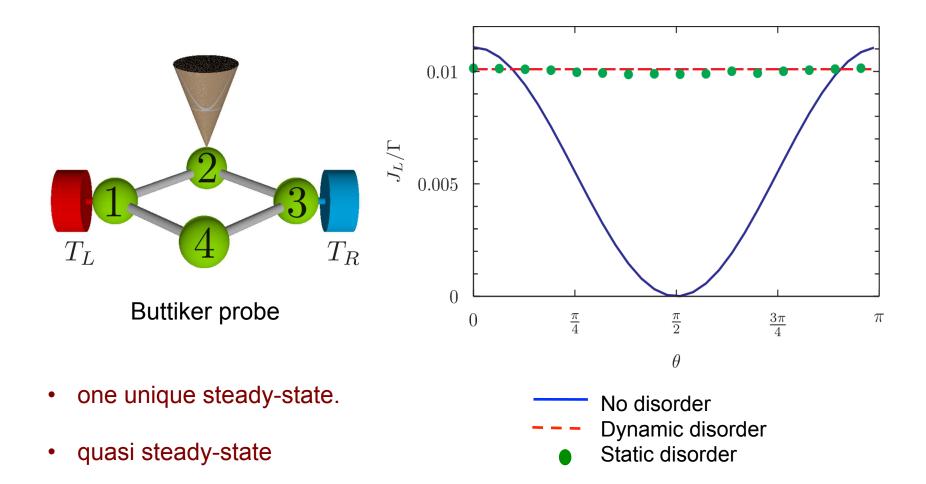
 ho_2 : pure state with zero current J=0 $ho_2 = |\psi_2\rangle\langle\psi_2| \quad \psi_2 = \frac{1}{\sqrt{2}}(e_2 - e_4)$

$$H_{S} = \begin{pmatrix} e_{g} & 0 & 0 & 0 & 0 \\ 0 & e_{1} & J_{1} & 0 & J_{1} \\ 0 & J_{1} & e_{2} & J_{2} & 0 \\ 0 & 0 & J_{2} & e_{3} & J_{2} \\ 0 & J_{1} & 0 & J_{2} & e_{2} \end{pmatrix} \implies \Pi = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 0 \end{pmatrix}$$



Thingna, Manzano, and Cao, Scientific Reports 6, 28027 (2016)

Broken Symmetry and Unique Steady-State



How to detect symmetry in the presence of disorder? (steady-state / transient current)

Master Equation and Transient Current

$$\frac{d\rho}{dt} = -i[H_S, \rho] + \mathcal{L}_P[\rho] + \sum_{\substack{\alpha=L,R\\i=p,d}}^{\alpha} \mathcal{L}_i^{\alpha}[\rho],$$

The dissipative Liouvillians are given by,

$$\mathcal{L}_{i}^{\alpha}[\rho] = A_{i}^{\alpha}\rho A_{i}^{\alpha\dagger} - \frac{1}{2} \left\{ A_{i}^{\alpha\dagger}A_{i}^{\alpha}, \rho \right\},$$
$$\mathcal{L}_{P}[\rho] = \int_{0}^{\infty} d\tau [S, \rho S(\tau)]C(\tau) - [S, S(\tau)\rho]C(-\tau).$$

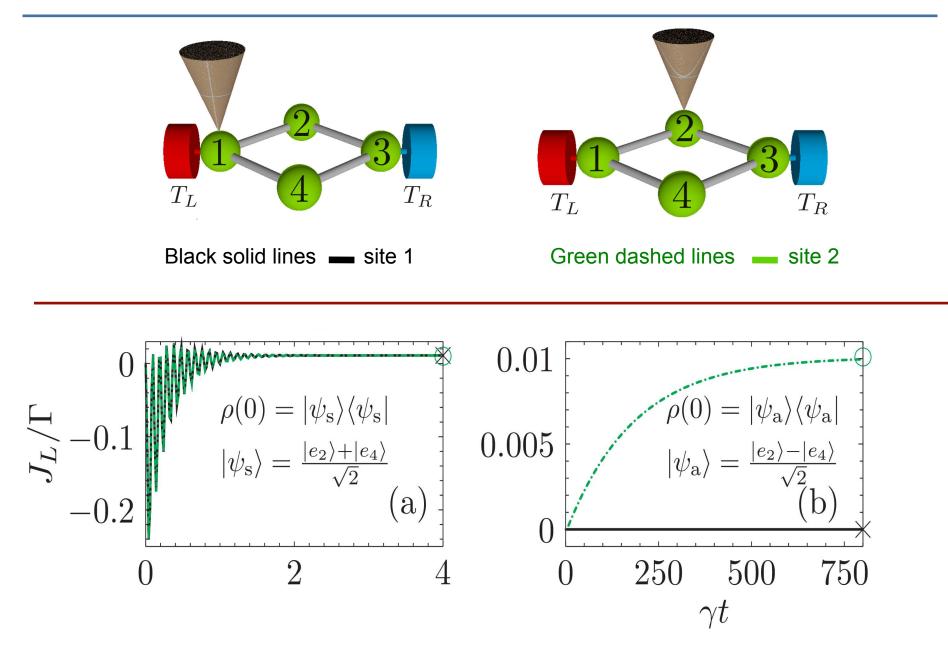
Above S breaks the symmetry, i.e., $[S,\Pi] \neq 0$

$$J(\omega) = \frac{\gamma\omega}{\left[1 + \left(\frac{\omega}{\omega_D}\right)^2\right]}; \quad C(\tau) = \int_0^\infty \frac{d\omega}{\pi} J(\omega) \left[\coth\left(\frac{\beta\omega}{2}\right)\cos(\omega\tau) - i\sin(\omega\tau)\right]$$

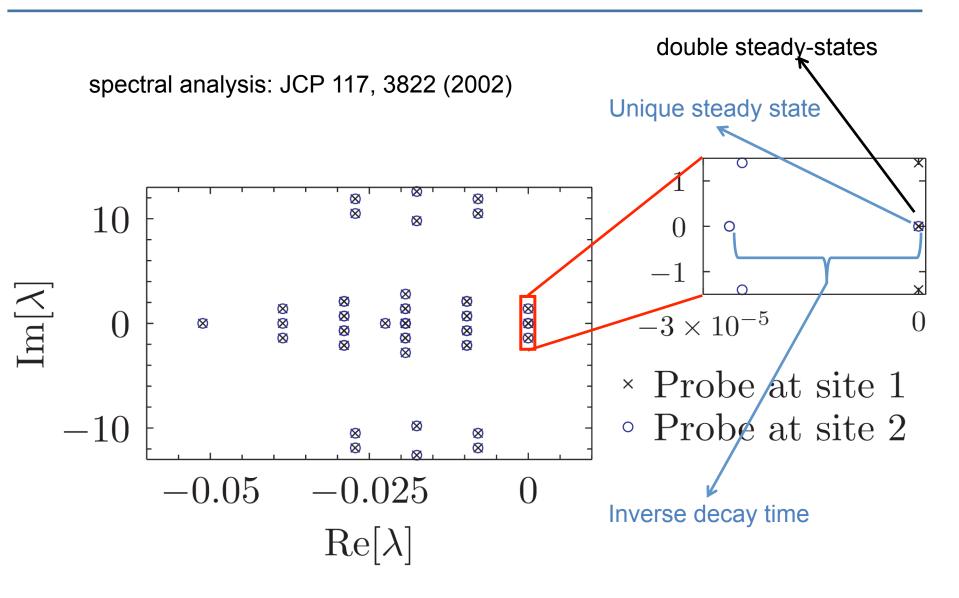
The excitonic current

$$J_x^{\rm in} = \operatorname{Tr}\left(A_p^{L\dagger} A_p^L \rho(t)\right) - \operatorname{Tr}\left(A_d^{L\dagger} A_d^L \rho(t)\right)$$

Effects of Buttiker Probe

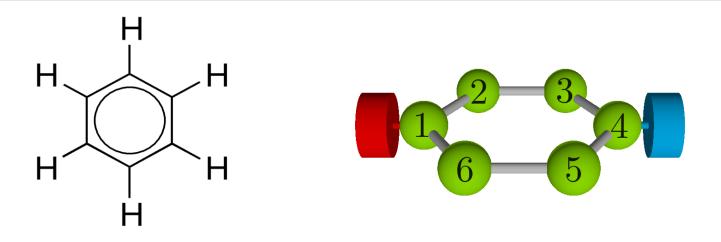


Eigen-spectrum of Open Systems

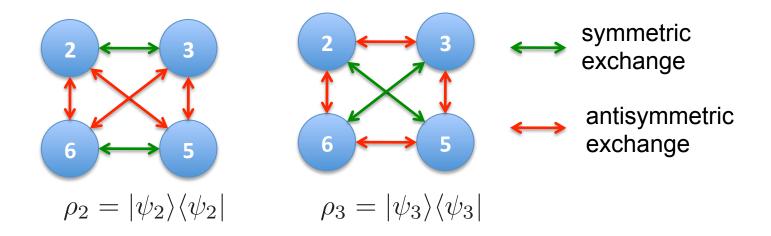


Thingna, Manzano, and Cao, Scientific Reports 6, 28027 (2016)

Symmetry Structure of Benzene



Para-benzene has 2 exchange symmetries and 3 steady-states 1 NESS with even symmetry and steady-state current (ρ_1) 2 pure states with zero steady state current (ρ_2 and ρ_3)

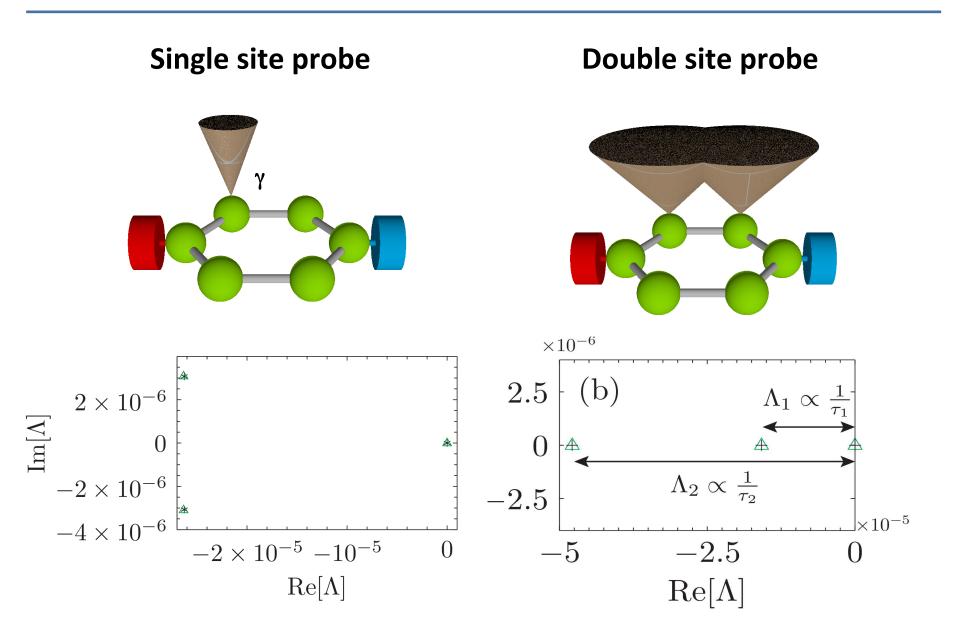


- Para-Benzene molecule has 3 steady-states.
- The application of the probe perturbs the Liouvillian breaking the degeneracy of the 3 steady-states.
- The eigenvalues of the perturbation matrix should give the longest time-scale of the perturbed dynamics.

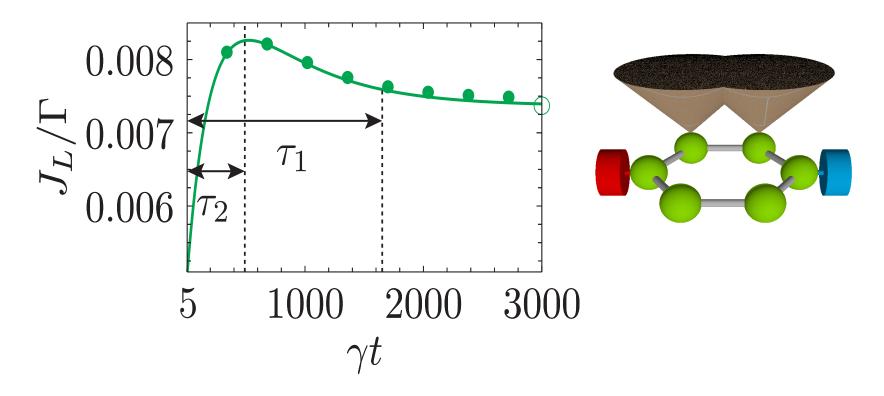
$$\mathsf{L} = \mathsf{L}_0 + \delta \mathsf{L} \qquad \delta L = \begin{pmatrix} \theta_0 & \sigma_1 & \sigma_2 \\ \theta_1 & R_{11} & R_{12} \\ \theta_2 & R_{21} & R_{22} \end{pmatrix}$$

R's are Redfield tensors resulting from the probe $R_{12} \sim 0$ because of detailed balance $R_{21} = 0$ for a single site probe (complex conjugate eigen-values) R_{21} is non-zero for a double site probe (real eigen-values)

Effects of Probe Location



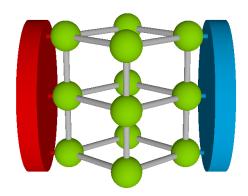
Bi-exponential Relaxation

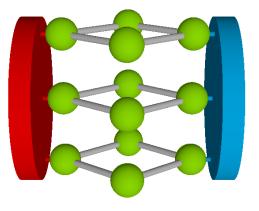


- Buttiker probe breaks the symmetries i.e. the degeneracy
- number of exponents = number of symmetries
- dynamical control of current is robust against static disorder

Thingna, Manzano, and Cao, Scientific Reports 6, 28027 (2016)

Systems of Interest

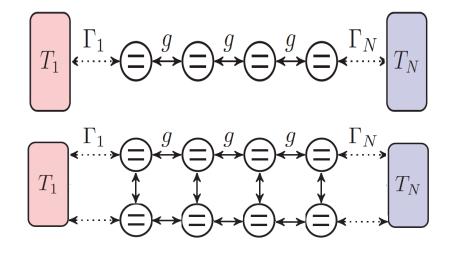




ladder of rings

stacked rings

 $g\sigma_{k}^{+}\sigma_{k+1}^{-}$ + cc

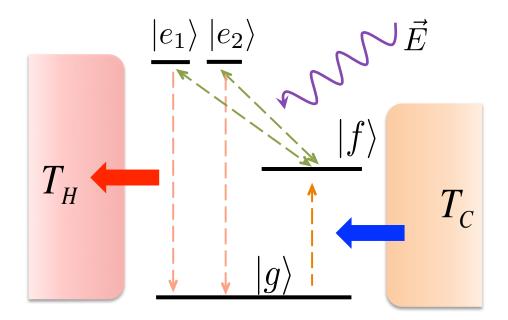


ballistic spin chain

diffusive spin ladder

Manzano, Chern, and Cao, NJP 18, 043044 (2016)

Degenerate Heat Engines



Use degeneracy to control coherence and thus energy flux

B= $|e_1\rangle$ + $|e_2\rangle$ is bright, J < 4J_{single} η = η_{single} ,

 $D=|e_1>-|e_2>$ is dark to the phonon baths but can be bright to photons Non-equilibrium systems with symmetry will be further explored

Summary

- Dynamics and thermodynamics in the polaron frame
- Symmetry and multiple steady-states

coherent control of steady-state current dynamical signatures of hidden symmetry

Acknowledgments

polaron dynamics: C. Lee, C. Wang, D. Xu multiple steady-states: J. Thingna, D. Manzano transfer tensor method (TTM): J Cerrillo energy transfer in FMO: J. Wu

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Thank you for your attention!