Motivation Thermalisation Work extraction Power Macroscopic Non-Markovianity

Fundamental corrections to work and power in the strong coupling regime



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Marti Perarnau-Llobet, Henrik Wilming, Arnau Riera, Rodrigo Gallego Non-Markovianity and Strong Coupling Effects in Thermodynamics 640. WE-Heraeus Seminar, Bad Honnef, April 2017



• Non-Markovian dynamics



• Equilibration and thermalisation of closed quantum many-body systems







• Non-Markovian dynamics



• Equilibration and thermalisation of closed quantum many-body systems



• Compare Massimilano's, Christopher's, David's,etc talks





• What implications does strong coupling have to readings of the **second law**?





• How does **work** extraction have to be modified?

Perarnau-Llobet, Wilming, Riera, Gallego, Eisert, this week (2017) Gallego, Riera, Eisert, New J Phys 16, 125009 (2014)



• What **power** enhancement due to strong coupling can be achieved?

Main questions of this talk

Motivation Thermalisation Work extraction Power Macroscopic Non-Markovianity

Strong coupling quantum thermodynamics



• Quantum Brownian motion as an example

Perarnau-Llobet, Wilming, Riera, Gallego, Eisert, this week (2017)

Insights into equilibration and thermalization

Gluza, Krumnow, Friesdorf, Gogolin, Eisert, Phys Rev Lett 117 (2016) Wilming, Krumnow, Goihl, Eisert, this week (2017) Gogolin, Eisert, Rep Prog Phys 79, 056001 (2016) Eisert, Friesdorf, Gogolin, Nature Physics 11, 124 (2015) Trotzky, Chen, Flesch, McCulloch, Schollwöck, Eisert, Bloch, Nature Physics 8, 325 (2012)



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Perarnau-Llobet, Wilming, Riera, Gallego, Eisert, this week (2017)

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Non-Markovian dynamics

Groeblacher, Trubarov, Prigge, Cole, Aspelmeyer, Eisert, Nature Comm 6 7606 (2015) Wolf, Eisert, Cubitt, Cirac, Phys Rev Lett 101, 150402 (2008) Motivation Thermalisation Work extraction Power Macroscopic Non-Markovianity



Setting the scene



• Thermalisation in thermodynamics: Abstraction of no interaction

 $\omega_{\beta}(H_{S})$ $\mathbf{I}_{\beta}(H) = \frac{e^{-\beta H}}{\operatorname{tr}(e^{-\beta H})}$



 $\omega_{\beta}(H_B)$



• Thermalisation in thermodynamics: Abstraction of no interaction



- In ontology of "thermalisation"
- Quasi-static processes make this assumption implicitly

Kluge, Neugebauer, Foundations of thermodynamics (1976)

• In resource-theoretic formulations, explicitly inbuilt

Janzing, Wocjan, Zeier, Geiss, Beth, Int J Theor Phys 39, 2717 (2000) Horodecki, Oppenheim, Nature Comm 4, 2059 (2013) Brandao, Horodecki, Ng, Oppenheim, Wehner, PNAS 112, 3275 (2015) Ng, Mancinska, Cirstoiu, Eisert, Wehner, New J Phys 17, 08500 (2015)



• Expects state to be $\rho_S = \operatorname{tr}_B(\omega_\beta(H))$

• Simple example in quantum Brownian motion



Perarnau-Llobet, Wilming, Riera, Gallego, Eisert, this week (2017) Gallego, Riera, Eisert, New J Phys 16, 125009 (2014)



Some procrustenation on equilibration





$$\rho(t) = e^{-itH}\rho(0)e^{itH}$$

$$H = H_S + H_B + H$$

- Expectation values $\langle S(t)\rangle = \mathrm{tr}(S\rho(t))$ of local observables

 $|\langle S(t)\rangle - \mathbb{E}_t(\langle S(t))| < \varepsilon$

take values of time average for overwhelming times

Linden, Popescu, Short, Winter, Phys Rev E 79, 061103 (2009) Short, Farrelly, New J Phys 14, 013063 (2012) Gogolin, Eisert, Rep Prog Phys 79, 056001 (2016) Reimann, Kastner, New J Phys 14, 043020 (2012)

V



• Systems are expected to equilibrate

• Compelling experimental evidence (i.e., cold atoms)





• Systems are expected to equilibrate

$$V$$

$$\rho(t) = e^{-itH}\rho(0)e^{itH}$$

$$H = H_S + H_B + V$$

In expectation

$$\mathbb{E}_t |\langle S(t) \rangle - \mathbb{E}_t \langle S(t) \rangle| \le \frac{1}{2} \sqrt{\frac{d_S^2}{d_{\text{eff}}}}, \quad d_{\text{eff}}^{-1} = \sum_k |\langle E_k | \psi(0) \rangle|^4$$

Linden, Popescu, Short, Winter, Phys Rev E 79, 061103 (2009) Short, Farrelly, New J Phys 14, 013063 (2012) Gogolin, Eisert, Rep Prog Phys 79, 056001 (2016)

> • Can be lower bounded for local Hamiltonians Farrelly, Brandao, Cramer, arXiv:1610.01337



• With equilibration times: Based on reasonable assumptions

 $|\langle S(t)\rangle - \mathbb{E}_t \langle S(t)\rangle| \le 1$

Wilming, Krumnow, Goihl, Eisert, this week (2017) Oliveira, Jonathan, Charalambous, Lewenstein, Riera, same (2017)



• Systems are expected to equilibrate

$$V$$

$$P(t) = e^{-itH}\rho(0)e^{itH}$$

$$H = H_S + H_B + V$$

• With equilibration times: Based on reasonable assumptions
$$\begin{split} |\langle S(t) \rangle - \mathbb{E}_t \langle S(t) \rangle| &\leq \min \left\{ 2 \|A\|, \frac{C_k(\delta, \tau)}{t^k} \right\} + \delta \\ & \bullet \\ & \bullet \\ & = \sum_{E_i \neq E_j} \langle E_i |A| E_j \rangle \langle E_j |\rho| E_i \rangle e^{i(E_i - E_j)t} = \sum_{\Delta \neq 0} z_\Delta e^{i\Delta t} \end{split}$$

• Use rigorous results on local Hamiltonians

Convolute, to make harmonic analysis applicable

Wilming, Krumnow, Goihl, Eisert, this week (2017) Oliveira, Jonathan, Charalambous, Lewenstein, Riera, same (2017)



• Systems are expected to equilibrate

 $H = H_S + H_B + V$

$$\rho(t) = e^{-itH}\rho(0)e^{itH}$$

• With equilibration times: Based on reasonable assumptions $|\langle S(t) \rangle - \mathbb{E}_t \langle S(t) \rangle| \leq \min \left\{ 2 \|A\|, \frac{C_k(\delta, \tau)}{t^k} \right\} + \delta$

• Non-interacting models equilibrate Gluza, Krumnow, Friesdorf, Gogolin, Eisert, Phys Rev Lett 117 (2016) Cramer, Dawson, Eisert, Osborne, Phys Rev Lett 100, 030602 (2008)

Calabrese, Essler, Fagotti, Phys Rev Lett 106, 227203 (2011)

• So closed systems equilibrate. Do they thermalise?

Wilming, Krumnow, Goihl, Eisert, this week (2017) Oliveira, Jonathan, Charalambous, Lewenstein, Riera, same (2017)



Conventionally, yes, eigenstate thermalisation hypothesis

Deutsch, Phys Rev A 43, 2046 (1991) Srednicki, Phys Rev E 50, 888 (1994)

$$\operatorname{tr}_{S^c}|E_k\rangle\langle E_k|)\sim \operatorname{tr}_{S^c}(e^{-\beta H})$$

 Thermalisation
 Motivation
 Thermalisation
 Power
 Macroscopic Non-Markovianity

• Theorem: For all lattices and all local models, there ex a threshold temp (model-independent) above which all correlations cluster exponentially Kliesch, Gogolin, Kastoryano, Riera, Eisert, Phys Rev X 4, 031019 (2014)

•**Theorem:** Any local perturbation of a high temperature Gibbs state will locally relax to the reduced of a Gibbs state

Farrelly, Brandao, Cramer, arXiv:1610.01337

Conventionally, yes, eigenstate thermalisation hypothesis

Deutsch, Phys Rev A 43, 2046 (1991) Srednicki, Phys Rev E 50, 888 (1994)

$$\operatorname{tr}_{S^c}|E_k\rangle\langle E_k|)\sim \operatorname{tr}_{S^c}(e^{-\beta H})$$

• For initially high temperature baths, this is settled



in strong coupling

Recent reviews

Eisert, Friesdorf, Gogolin, Nature Physics 11, 124 (2015) Gogolin, Eisert, Rep Prog Phys 79, 056001 (2016)



Protocols





Thermodynamic protocols consist of the three steps

$$H_{SB}^{(i)} = H_S^{(i)} + H_B + V$$

• A: Turning on/off the interaction

• Expected work cost

$$W_{\mathrm{on}}^{(i)} = \mathrm{tr}(\rho_{SB}^{(i)}V) = -W_{\mathrm{off}}^{(i)}$$

as state does not change



• Thermodynamic protocols consist of the three steps

$$H_{SB}^{(i)} = H_S^{(i)} + H_B + V \mapsto H_{SB}^{(i+1)} = H_S^{(i+1)} + H_B + H_$$

- A: Turning on/off the interaction
- B: Fast quenches
 - Expected work cost

$$W^{(i)} = \operatorname{tr}(\rho_S^{(i)}(H_S^{(i)} - H_S^{(i+1)}))$$

V



• Thermodynamic protocols consist of the three steps



 $\rho_S^{(i+1)} = \operatorname{tr}_B(\omega_\beta(H_{SB}^{(i+1)}))$

- A: Turning on/off the interaction
- B: Fast quenches
- C: Equilibration



Quantum Brownian motion as ubiquitous example

Caldeira, Leggett, Physica A 121, 587 (1983), Ullersma, Physica 23, 56 (1966)



• Highly relevant in quantum thermodynamics, opto-mechanics, etc

Allahverdyan, Nieuwenhuizen, Phys Rev Lett 85, 1799 (2000) Groeblacher, Trubarov, Prigge, Cole, Aspelmeyer, Eisert, Nature Comm 6, 7606 (2015) Rivas, Plato, Huelga, Plenio, New J Phys 12, 113032 (2010) Strasberg, Schaller, Lambert, Brandes, New J Phys 18, 073007 (2016)









Work extraction





- Isothermal processes: $N \rightarrow \infty$ quenches (B) and equilibrations (C)





- Isothermal processes: $N \rightarrow \infty$ quenches (B) and equilibrations (C)





- Isothermal processes: $N \rightarrow \infty$ quenches (B) and equilibrations (C)
- For an initial state in local equilibrium $\rho_S^{(1)}={\rm tr}_B(\omega_{SB}^{(1)})$ the expected work for $H_{SB}^{(1)}$ and $H_{SB}^{(N)}$ is

$$W^{(\text{isoth})} = F(\omega_{SB}^{(1)}, H_{SB}^{(1)}) - F(\omega_{SB}^{(N)}, H_{SB}^{(N)})$$

• (Non-equilibrium) free energy

$$F(\rho, H) = \operatorname{tr}(H\rho) - S(\rho)/\beta$$

Campisi, Talkner, Haenggi, Phys Rev Lett 102, 210401 (2009) Gallego, Riera, Eisert, New J Phys 16, 125009 (2014)



- Isothermal processes: $N \rightarrow \infty$ quenches (B) and equilibrations (C)



• For an initial state in local equilibrium $\rho_S^{(1)} = \operatorname{tr}_B(\omega_{SB}^{(1)})$, in weak coupling, the expected work for $H_{SB}^{(1)}$ and $H_{SB}^{(N)}$ is

$$W^{(\text{weak})} = F(\rho_S, H_S) - F(\omega_\beta(H_S), H_S)$$

• Simply change in **free energy**



• To be fair, start with $H_0 := H_{SB}^{(0)} = H_S + H_B$ and $\rho_0 := \rho_{SB}^{(0)} = \rho_S \otimes \omega_\beta(H_B)$



- Start by quenching to ${\cal H}^{(1)}_S$ and end by quenching back from ${\cal H}^{(N)}_S$ to ${\cal H}_S$

 How is work extraction changed in the strong coupling regime? Strong coupling work extraction

• To be fair, start with $H_0 := H_{SB}^{(0)} = H_S + H_B$ and $\rho_0 := \rho_{SB}^{(0)} = \rho_S \otimes \omega_\beta(H_B)$



- Start by quenching to ${\cal H}^{(1)}_S$ and end by quenching back from ${\cal H}^{(N)}_S$ to ${\cal H}_S$

• Observation (Maximum extractable work): The maximum extractable work is $W_{\max} = W^{(\text{weak})} - \Delta F_{\min}^{(\text{res})} - \Delta F_{\min}^{(\text{irrev})}$ with $\Delta F_{\min}^{(\text{irrev})} = \min_{H_S^{(1)}} \Delta F^{(\text{irrev})}$ and $\Delta F_{\min}^{(\text{res})} = \min_{H_S^{(N)}} \Delta F^{(\text{res})}$

• Here, $W^{(\text{weak})}$ is the extractable work in weak coupling and $\Delta F^{(\text{irrev})} := F(\rho_0, H_{SB}^{(1)}) - F(\omega_{SB}^{(1)}, H_{SB}^{(1)})$ $\Delta F^{(\text{res})} := F(\omega_{SB}^{(N)}, H_0) - F(\omega_{SB}^{(0)}, H_0)$ with $H_{SB}^{(1)/(N)} = H_S^{(1)/(N)} + H_B + V$ Peramau-Llobet, Wilming, Riera,

Perarnau-Llobet, Wilming, Riera, Gallego, Eisert, this week (2017)



- Observation (Maximum extractable work): The maximum extractable work is • Up to choice of $H_S^{(1)}$ and $H_S^{(N)}$ cyclic processes of three steps are optimal
 - ${\mbox{ (i)}}$ A quench to $H^{(1)}_S$ followed by turning on V
 - (ii) An isothermal process from ${\cal H}^{(1)}_S$ to ${\cal H}^{(N)}_S$
 - ${\scriptstyle \bullet}$ (iii) A quench back to the original Hamiltonian H_S after turning off V





• Since $F(\rho, H) - F(\omega_{\beta}(H), H) = S(\rho || \omega_{\beta}(H)) \ge 0$



• Observation (Maximum extractable work): The maximum extractable work is

$$W_{\max} = W^{(\text{weak})} - \Delta F_{\min}^{(\text{res})} - \Delta F_{\min}^{(\text{irrev})}$$
with $\Delta F_{\min}^{(\text{irrev})} = \min_{H_S^{(1)}} \Delta F^{(\text{irrev})}$ and $\Delta F_{\min}^{(\text{res})} = \min_{H_S^{(1)}} \Delta F^{(\text{res})}$

- In weak coupling limit, $H_S^{(1)} = \beta^{-1} \log \rho_S$ and $H_S^{(N)} = H_S$ let penalty vanish



• Strong coupling has significant influence on maximum work extraction

• In general $W_{\rm max} < W^{\rm (weak)}$



protocol which becomes optimal in the weak coupling regime. Orange line: same protocol but using our framework. Dashed blue: W_{max} . Dashed orange: $W^{(\text{weak})}$



- Dissipated heat is $Q=T\Delta S-(\Delta F_B^{(\mathrm{res})}+TI(S:B)+\Delta F^{(\mathrm{irrev})})$



- $$\begin{split} & \Delta S = S(\mathrm{tr}_B \omega_{SB}^{(N)}) S(\rho_S) \text{ gain of entropy of } S \\ & \bullet \Delta F_B^{(\mathrm{res})} = S(\mathrm{tr}_S \omega_{SB}^{(N)} || \omega_\beta(H_B)) \text{ is gain of free energy of bath} \\ & \bullet I(S:B) \text{ is mutual information between system and bath} \end{split}$$
- Writing this as $-T\Delta S = -Q + T\left(S(\omega_B^{(N)}||\omega_B^{(0)}) + I(\omega_{SB}^{(N)}) + S(\rho_0||\omega_{SB}^{(1)})\right)$ gives Landauer bound

$$-Q \ge -T\Delta S$$



Heat engines



• Observation (Corrections to Carnot): The efficiency of a Carnot cycle

 β_c

$$\eta = 1 - \frac{Q_c}{Q_h} = 1 - \frac{T_c(1 + x_c)}{T_h(1 - x_h)} < \eta^C$$

 β_h

where T_h and T_c are the temperatures of the hot and cold baths, and $\eta^C = 1 - T_c/T_h$





Power in strong coupling



How about the power?



- Guess that equilibration time $\tau \sim \|V\|^{-1}$?
- ${\scriptstyle \bullet}\, {\rm Careful} \ {\rm analysis} \ {\rm shows} \ \tau \geq \delta Q/r$
 - δQ energy change of the bath during equilibration • $r := \|[H_B, V]\|$ maximum rate in which system and bath can exchange energy

• Implies upper bound to power

Power from equilibration Motivation Thermalisation Work extraction Power Macroscopic Non-Markovianity

• **Observation** (Upper bound to power): The power of a Carnot engine arbitrarily strongly coupled to its baths is upper bounded by

$$P := \frac{W}{\delta t} \le \frac{r_c \eta}{1 - \eta + r_c/r_h} < t_h \eta$$

where W is the work produced in a cycle, Δt is its length in time, η is the efficiency of the machine, $r_{c/h} := \|[H_{B_{c/h}}, V_{c,h}]\|$ is the maximum rate at which the cold/hot bath with Hamiltonian $H_{B_{c/h}}$ can lose/gain energy and $V_{c/h}$ is the interaction that couples S to the cold/hot bath



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Macroscopic limit and non-negligibly weak coupling

Motivation Thermalisation Work extraction Power Macroscopic Non-Markovianity

In macroscopic limit

Area laws

 $\Delta F_{\min}^{(\text{res})/(\text{irrev})} \le 2 \|V\|$



 $TI(S:B) \leq 2 \|V\|$ (from mutual info area law)

Wolf, Verstraete, Hastings, Cirac, Phys Rev Lett 100, 070502 (2008)

• As interactions are **"area law"-like** and non-extensive, they are negligible in the macroscopic limit



Non-negligible weak coupling Motivation Thermalisation Work extraction Power Macroscopic Non-Markovianity

- $\bullet \operatorname{Replace} V \operatorname{by} gV \operatorname{with} 0 < g \ll 1$
- ${\scriptstyle \bullet } {\rm Obviously}, \omega_{\beta}(H) {\rm ~is~unique~minimiser~for} \, \rho \mapsto S(\rho \| \omega_{\beta}(H))$
- ${\scriptstyle \bullet}\, \Delta F^{(\rm res)}\, {\rm and}\, \Delta F^{(\rm irrev)}\, {\rm take}$ unique minimum for g=0

$$W(g) = W^{\text{(weak)}} - K_w T g^2 + O(g^3), K_w > 0$$
$$Q(g) = T \Delta S - K_q T g^2 + O(g^3), K_q > 0$$

Carnot efficiency

$$\eta = \eta^{\rm C} - g^2 \frac{T_c}{T_h} \left(\frac{T_h K_q^{(h)} + T_h K_q^{(c)}}{Q_h^{(\text{weak})}} \right) + O(g^3)$$

• The second law is "robust" in that lowest order contributions vanish



- ${\scriptstyle \bullet \, {\rm Replace} \, V \, {\rm by} \, g V} {\rm with} \, 0 < g \ll 1$
- Power gives

$$P(g) \le g \frac{r_c \, \eta^{\rm C}}{1 - \eta^{\rm C} + r_c/r_h} - O(g^3)$$

linear for small g and monotone decreasing for large g

• But **optimal power** is obtained for finite coupling strength



• But **optimal power** is obtained for finite coupling strength

Non-negligible weak coupling

Motivation Thermalisation Work extraction Power Macroscopic Non-Markovianity



• But **optimal power** is obtained for finite coupling strength



Role of non-Markovian behavior



• Dynamics is obviously non-Markovian and not forgetful in strong coupling



- A process is Markovian if dynamical map $\rho(0)\mapsto\rho(t)=T_t(\rho(0))$ satisfies

$$T_s \circ T_t = T_{s+t}, \ s,t \ge 0 \text{,} \ T_0 = 1$$

• Deviation from divisibility can be made measure...

Wolf, Eisert, Cubitt, Cirac, Phys Rev Lett 101, 150402 (2008) Rivas, Huelga, Plenio, Phys Rev Lett 105, 050403 (2010)

... or one based on information backflow

Breuer, Laine, Piilo, Phys Rev Lett 103, 210401 (2009)



100 µm

Groeblacher, Trubarov, Prigge, Cole, Aspelmeyer, Eisert, Nature Comm 6 7606 (2015)



• Dynamics is obviously non-Markovian and not forgetful in strong coupling



• Strong coupling probes deviation from Markovian dynamics in quantum Brownian motion



Outlook



http://www.physik.fu-berlin.de/en/einrichtungen/ag/ag-eisert